

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspio.gov

PPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/493,756	01/28/2000	Chris Carmichael		2630
75	590 01/29/2003			
International Apparel Group LLC			EXAMINER	
11 Columbia Street Suite B Aliso Viejo, CA 92656			FUREMAN, JARED	
			ART UNIT	PAPER NUMBER
			2876	
			DATE MAILED: 01/29/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
	09/493,756	CARMICHAEL ET AL.
Notice of Abandonment	Examiner	Art Unit
	Jared J. Fureman	2876
The MAILING DATE of this communication		
This application is abandoned in view of:		
1. Applicant's failure to timely file a proper reply to the (a) A reply was received on (with a Certificate period for reply (including a total extension of times)	e of Mailing or Transmission date	ed), which is after the expiration of the
(b) ☐ A proposed reply was received on, but it o		
(A proper reply under 37 CFR 1.113 to a final rejection in condition for allowance; (2) a timely Continued Examination (RCE) in compliance with	y filed Notice of Appeal (with app	
(c) ☐ A reply was received on but it does not co final rejection. See 37 CFR 1.85(a) and 1.111. (enstitute a proper reply, or a bon- See explanation in box 7 below)	a fide attempt at a proper reply, to the non-
(d) No reply has been received.		
2. Applicant's failure to timely pay the required issue fe from the mailing date of the Notice of Allowance (PT	e and publication fee, if application. OL-85).	ole, within the statutory period of three months
 (a) ☐ The issue fee and publication fee, if applicable), which is after the expiration of the statute Allowance (PTOL-85). 	, was received on (with ory period for payment of the iss	a Certificate of Mailing or Transmission date ue fee (and publication fee) set in the Notice of
(b) ☐ The submitted fee of \$ is insufficient. A ba	lance of \$ is due.	
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if requir	ed by 37 CFR 1.18(d), is \$
(c) ☐ The issue fee and publication fee, if applicable, h	as not been received.	
3. Applicant's failure to timely file corrected drawings as Allowability (PTO-37).	required by, and within the thre	e-month period set in, the Notice of
(a) ☐ Proposed corrected drawings were received on _ after the expiration of the period for reply.	(with a Certificate of Mailir	ng or Transmission dated), which is
(b) ☐ No corrected drawings have been received.		
4. The letter of express abandonment which is signed to the applicants.	by the attorney or agent of record	d, the assignee of the entire interest, or all of
5. The letter of express abandonment which is signed I 1.34(a)) upon the filing of a continuing application.	by an attorney or agent (acting in	n a representative capacity under 37 CFR
6. The decision by the Board of Patent Appeals and Into of the decision has expired and there are no allowed	erference rendered on ar claims.	nd because the period for seeking court review
7. The reason(s) below:		
On 1/22/2003, Ms. Connie Carmichael was information regarding a	rmed that no response to the petition to revive.	office action mailed 6/2/2002 had been
		MICHAEL G. LEE SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2800
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to w minimize any negative effects on patent term.	ithdraw the holding of abandonment	under 37 CFR 1.181, should be promptly filed to
U.S. Patent and Trademark Office		

Art Unit: 2876

1. The Patent and Trademark Office did not receive a reply to the Office Action of 6/20/2002. Since no time remains for applicant to obtain an extension of the period for reply by filing a petition under 37 CFR 1.136(a), this application is *abandoned*. Applicant is advised that the abandonment of this application may only be overcome by filing a petition to revive under 37 CFR 1.137. A petition to revive may be appropriate if applicants failure to reply was either unavoidable or unintentional, as set forth below.

A. Failure to reply was unavoidable.

A petition to revive an abandoned application on the grounds that the failure to reply was unavoidable (37 CFR 1.137(a)) must be accompanied by: (1) the required reply (which has been filed); (2) a showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(a) was unavoidable; (3) any terminal disclaimer required pursuant to 37 CFR 1.137(c); and (4) the \$110 (large entity) or \$55 (small entity) petition fee as set forth in 37 CFR 1.17(l). No consideration to the substance of a petition will be given until this fee is received.

The showing requirement can be met by submission of statements of fact establishing that the delay in filing the reply was unavoidable, as well as inadvertent. This must include: (1) a satisfactory showing that the cause of the delay resulting in failure to reply in timely fashion to the Office action was unavoidable; and (2) a

Art Unit: 2876

satisfactory showing that the cause of any delay during the time period between abandonment and filing of the petition to revive was also unavoidable.

A terminal disclaimer and the \$110 (large entity) or \$55 (small entity) terminal disclaimer fee is required under 37 CFR 1.137(c) if the application is: (1) a design application, (2) a utility application filed before June 8, 1995, or (3) a plant application filed before June 8, 1995. The terminal disclaimer must dedicate to the public a terminal part of the term of any patent granted the application equivalent to the period of abandonment of the application, and must also apply to any patent granted on any application containing a specific reference under 35 U.S.C. 120, 121 or 365(c) to the application for which revival is sought.

B. Failure to reply was unintentional.

A petition to revive an abandoned application on the grounds that the failure to reply was unintentional (37 CFR 1.137(b)) must be accompanied by: (1) the required reply (which has been filed); (2) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional; (3) any terminal disclaimer required pursuant to 37 CFR 1.137(c) (see above discussion); and (4) the \$1,300 (large entity) or \$650 (small entity) petition fee as set forth in 37 CFR 1.17(m). No consideration to the substance of a petition will be given until this fee is received. The Commissioner may require additional information where there is a question whether the delay was unintentional.

Art Unit: 2876

The required items and fees must be submitted promptly under a cover letter entitled "Petition to Revive."

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Assistant Commissioner for Patents

Box DAC

Washington, DC 20231

By FAX:

(703) 308-6916

Attn: Office of Petitions

By hand:

Crystal Plaza 4, Room 3C23

2201 South Clark Place Arlington, VA 22202

Telephone inquiries with respect to this matter should be directed to the Office of Petitions Staff at (703) 305-9282. For more detailed information, see MPEP § 711.03(c).

- 37 CFR 1.137. Revival of abandoned application, terminated reexamination proceeding, or lapsed patent.
- (a) Unavoidable. If the delay in reply by applicant or patent owner was unavoidable, a petition may be filed pursuant to this paragraph to revive an abandoned application, a

Art Unit: 2876

reexamination proceeding terminated under §§ 1.550(d) or 1.957(b) or (c), or a lapsed patent. A grantable petition pursuant to this paragraph must be accompanied by:

- (1) The reply required to the outstanding Office action or notice, unless previously filed;
- (2) The petition fee as set forth in § 1.17(I);
- (3) A showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unavoidable; and
- (4) Any terminal disclaimer (and fee as set forth in § 1.20(d)) required pursuant to paragraph (d) of this section.
- (b) Unintentional. If the delay in reply by applicant or patent owner was unintentional, a petition may be filed pursuant to this paragraph to revive an abandoned application, a reexamination proceeding terminated under §§ 1.550(d) or 1.957(b) or (c), or a lapsed patent. A grantable petition pursuant to this paragraph must be accompanied by:
- (1) The reply required to the outstanding Office action or notice, unless previously filed;
- (2) The petition fee as set forth in § 1.17(m);
- (3) A statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to this paragraph was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional; and

Art Unit: 2876

(4) Any terminal disclaimer (and fee as set forth in § 1.20(d)) required pursuant to paragraph (d) of this section.

- required reply may be met by the filing of a continuing application. In a nonprovisional utility or plant application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continued examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must include payment of the issue fee or any outstanding balance. In an application, abandoned for failure to pay the publication fee, the required reply must include payment of the publication fee.
- (d) Terminal disclaimer.
- (1) Any petition to revive pursuant to this section in a design application must be accompanied by a terminal disclaimer and fee as set forth in § 1.321 dedicating to the public a terminal part of the term of any patent granted thereon equivalent to the period of abandonment of the application. Any petition to revive pursuant to this section in either a utility or plant application filed before June 8, 1995, must be accompanied by a terminal disclaimer and fee as set forth in § 1.321 dedicating to the public a terminal part of the term of any patent granted thereon equivalent to the lesser of:
- (i) The period of abandonment of the application; or
- (ii) The period extending beyond twenty years from the date on which the application for the patent was filed in the United States or, if the application contains a

Page 6

Art Unit: 2876

specific reference to an earlier filed application(s) under 35 U.S.C. 120, 121, or 365(c), from the date on which the earliest such application was filed.

- (2) Any terminal disclaimer pursuant to paragraph (d)(1) of this section must also apply to any patent granted on a continuing utility or plant application filed before June 8, 1995, or a continuing design application, that contains a specific reference under 35 U.S.C. 120, 121, or 365(c) to the application for which revival is sought.
- (3) The provisions of paragraph (d)(1) of this section do not apply to applications for which revival is sought solely for purposes of copendency with a utility or plant application filed on or after June 8, 1995, to lapsed patents, or to reexamination proceedings.
- (e) Request for reconsideration. Any request for reconsideration or review of a decision refusing to revive an abandoned application, a terminated reexamination proceeding, or lapsed patent upon petition filed pursuant to this section, to be considered timely, must be filed within two months of the decision refusing to revive or within such time as set in the decision. Unless a decision indicates otherwise, this time period may be extended under:
- (1) The provisions of § 1.136 for an abandoned application or lapsed patent;
- (2) The provisions of § 1.550(c) for a terminated ex parte reexamination proceeding filed under § 1.510; or
- (3) The provisions of § 1.956 for a terminated inter partes reexamination proceeding filed under § 1.913.
- (f) Abandonment for failure to notify the Office of a foreign filing: A nonprovisional

Art Unit: 2876

application abandoned pursuant to 35 U.S.C. 122(b)(2)(B)(iii) for failure to timely notify the Office of the filing of an application in a foreign country or under a multinational treaty that requires publication of applications eighteen months after filing, may be revived only pursuant to paragraph (b) of this section. The reply requirement of paragraph (c) of this section is met by the notification of such filing in a foreign country or under a multinational treaty, but the filing of a petition under this section will not operate to stay any period for reply that may be running against the application.

- (g) Provisional applications: A provisional application, abandoned for failure to timely respond to an Office requirement, may be revived pursuant to this section. Subject to the provisions of 35 U.S.C. 119(e)(3) and § 1.7(b), a provisional application will not be regarded as pending after twelve months from its filing date under any circumstances.
- 37 CFR 1.181. Petition to the Commissioner.
- (a) Petition may be taken to the Commissioner:
- (1) From any action or requirement of any examiner in the ex parte prosecution of an application, or in ex parte or inter partes prosecution of a reexamination proceeding which is not subject to appeal to the Board of Patent Appeals and Interferences or to the court;
- (2) In cases in which a statute or the rules specify that the matter is to be determined directly by or reviewed by the Commissioner; and

Page 9

Application/Control Number: 09/493,756

Art Unit: 2876

(3) To invoke the supervisory authority of the Commissioner in appropriate circumstances. For petitions in interferences, see § 1.644.

(f) The mere filing of a petition will not stay any period for reply that may be running against the application, nor act as a stay of other proceedings. Any petition under this part not filed within two months of the mailing date of the action or notice from which relief is requested may be dismissed as untimely, except as otherwise provided. This two-month period is not extendable.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jared J. Fureman whose telephone number is (703) 305-0424. The examiner can normally be reached on 7:00 am - 4:30 PM M-T, and every other Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Lee can be reached on (703) 305-3503. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-7722 for regular communications and (703) 308-7722 for After Final communications.

Art Unit: 2876

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

jjf January 24, 2003